

# Coefficient Estimates for Initial Taylor-Maclaurin Coefficients for a Subclass of Analytic and Bi-univalent Functions Associated with $q$ -Derivative Operator

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**Abstract:** In the present paper, we introduce and investigate a new subclass of analytic and bi-univalent functions  $\Sigma_q(\varphi)$  in the open unit disk with respect to  $q$ -derivative operator. For functions belonging to this class, we obtain estimates on the first two Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$ . Various other results, which presented in this paper, would generalize and improve those in related works of several earlier authors

**Keywords:** Analytic functions, Bi-univalent functions,  $q$ -derivative, Coefficient estimates.

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## 1 Introduction

Let  $\mathcal{A}$  be the class of all analytic functions of the form

$$f(z) = z + \sum_{k=2}^{\infty} a_k z^k \quad (1)$$

in the open unit disk  $\mathbb{U} = \{z \in \mathbb{C} : |z| < 1\}$ .

An analytic function  $f$  is subordinate to an analytic function  $g$ , written as  $f \prec g$ , provided there is an analytic (Schwarz) function  $w$  with  $w(0) = 0$ ,  $|w(z)| < 1$ , for all  $z \in \mathbb{U}$  satisfying  $f(z) = g(w(z))$  for all  $z \in \mathbb{U}$ .

The well-known Koebe one-quarter theorem [1] ensure that the image of  $\mathbb{U}$  under every univalent function  $f \in \mathcal{A}$  contains a disk of radius  $\frac{1}{4}$ . Hence, every univalent function  $f$  has an inverse  $f^{-1}$  satisfying  $f^{-1}(f(z)) = z$ , ( $z \in \mathbb{U}$ ) and

$$f^{-1}(f(w)) = w, \quad (|w| < r_0(f), r_0(f) \geq \frac{1}{4})$$

where

$$g(w) = f^{-1}(w) = w - a_2 w^2 + (2a_2^2 - a_3) w^3 - (5a_2^3 - 5a_2 a_3 + a_4) w^4 + \dots$$

A function  $f \in \mathcal{A}$  is said to be bi-univalent in  $\mathbb{U}$  if both  $f$  and  $f^{-1}$  are univalent in  $\mathbb{U}$ . Let  $\Sigma$  denote the class of bi univalent functions in  $\mathbb{U}$  given by (1).

In 1986, Brannan and Taha [2] introduced certain subclasses of the bi-univalent function class  $\Sigma$  similar to the familiar subclasses of starlike and convex functions of order  $\alpha$ . In 2012, Ali et al. [3] widen the result of Brannan and Taha using subordination. Since then, various subclasses of the bi-univalent function class  $\Sigma$  were introduced and non-sharp estimates on the first two coefficients  $a_2$  and  $a_3$  of the Taylor-Maclaurin series expansion (1) were found in several recent studies. For interesting study on this topic can be found in ([5]-[6]-[7]-[8]).

In [11], [12], Jackson defined the  $q$ -derivative operator  $D_q$  of a function as follows:

$$D_q f(z) = \frac{f(qz) - f(z)}{(q-1)z} \quad (z \neq 0, q \neq 0) \quad (2)$$

and  $D_q f(z) = f'(0)$ . In case  $f(z) = z^k$  for  $k$  is a positive integer, the  $q$ -derivative of  $f(z)$  is given by

$$D_q z^k = \frac{z^k - (zq)^k}{z(1-q)} = [k]_q z^{k-1}.$$

As  $q \rightarrow 1^-$  and  $k \in \mathbb{N}$ , we have

$$[k]_q = \frac{1 - q^k}{1 - q} = 1 + q + \dots + q^{k-1} \rightarrow k. \quad (3)$$

Quite a number of great mathematicians studied the concepts of  $q$ -derivative, for example by Gasper and Rahman [10], Aral et.al [13] and many others (see [15]-[20]).

Let  $\varphi$  be an analytic function with positive real part in  $\mathbb{U}$  such that  $\varphi(0) = 1$ ,  $\varphi'(0) > 0$  and  $\varphi(\mathbb{U})$  is symmetric with respect to real axis. Such a function has a series expansion of the form:

$$\varphi(z) = 1 + B_1 z + B_2 z^2 + B_3 z^3 + \dots \quad (B_1 > 0). \quad (4)$$

We now introduce the following subclass of analytic and bi-univalent functions using the  $q$ -operator.

**Definition 1.1** A function  $f \in \Sigma$  is said to be in the class  $\Sigma_q(\varphi)$  if each of the following subordination condition holds true:

$$D_q(f(z)) \prec \varphi(z), \quad z \in \mathbb{U}. \quad (5)$$

and

$$D_q(g(w)) \prec \varphi(w), \quad w \in \mathbb{U}. \quad (6)$$

where  $g(w) = f^{-1}(w)$ .

The subclass  $\Sigma_q(\varphi)$  in Definition 1.1 can be reduced to many subclasses introduced before as seen in the following Remarks.

**Remark 1.2** Setting  $q \rightarrow 1^-$ , the class  $\Sigma_q(\varphi)$  reduces to the class  $\mathcal{H}_\sigma(\varphi)$  introduced by Ali et al.[3] which is a subclass of the functions  $f \in \Sigma$  satisfying

$$f'(z) \prec \varphi(z), \quad g'(w) \prec \varphi(w)$$

**Remark 1.3** Setting  $q \rightarrow 1^-$  and

$$\varphi(z) = \frac{1 + (1 - 2\beta)z}{1 - z} \quad (0 \leq \beta < 1), \quad \varphi(z) = \left( \frac{1+z}{1-z} \right)^\alpha \quad (0 < \alpha \leq 1),$$

the class  $\Sigma_q(\varphi)$  reduces to the classes  $\mathcal{H}_\Sigma^\alpha$  and  $\mathcal{H}_\Sigma(\beta)$  introduced by Srivastava et al.[4] which are subclasses of the functions  $f \in \Sigma$  satisfying

$$|\arg(f'(z))| < \frac{\alpha\pi}{2}, \quad |\arg(g'(w))| < \frac{\alpha\pi}{2}$$

and

$$\operatorname{Re}(f'(z)) > \beta, \quad \operatorname{Re}(g'(w)) > \beta$$

respectively.

**Remark 1.4** *Setting*

$$\varphi(z) = \frac{1 + (1 - 2\beta)z}{1 - z} \quad (0 \leq \beta < 1) \quad \text{and} \quad \varphi(z) = \left(\frac{1+z}{1-z}\right)^\alpha \quad (0 < \alpha \leq 1)$$

the class  $\Sigma_q(\varphi)$  reduces to the classes  $\mathcal{H}_\Sigma^{q,\alpha}$  and  $\mathcal{H}_\Sigma^q(\beta)$  introduced by Bulut[9] which are subclasses of the functions  $f \in \Sigma$  satisfying

$$|\arg(D_q f(z))| < \frac{\alpha\pi}{2}, \quad |\arg(D_q g(w))| < \frac{\alpha\pi}{2}$$

and

$$\operatorname{Re}(D_q f(z)) > \beta, \quad \operatorname{Re}(D_q g(w)) > \beta$$

respectively.

In our investigation, we shall need the following Lemma

**Lemma 1.5** [14] *Let the function  $p \in \mathcal{P}$  be given by the following series:*

$$p(z) = 1 + p_1 z + p_2 z^2 + p_3 z^3 + \cdots \quad (z \in \mathbb{U}).$$

The sharp estimate given by

$$|p_n| \leq 2 \quad (n \in \mathbb{N}),$$

holds true.

The object of the present paper is to find estimates on the Taylor-Maclaurin coefficients  $|a_2|$  and  $|a_3|$  for functions in this new subclass  $\Sigma_q(\varphi)$  of the function class  $\Sigma$ .

## 2 A set of main results

For functions in the class  $\Sigma_q(\varphi)$ , the following result is obtained.

**Theorem 2.1** *Let  $f \in \Sigma_q(\varphi)$  be of the form (1). Then*

$$|a_2| \leq \min \left\{ \frac{B_1}{[2]_q}, \frac{B_1^{\frac{3}{2}}}{\sqrt{[3]_q B_1^2 + [2]_q^2 (B_1 - B_2)}} \right\} \quad (7)$$

and

$$|a_3| \leq \min \left\{ \frac{B_2}{[3]_q}, \frac{B_1}{[3]_q} + \frac{B_1^2}{[2]_q^2} \right\} \quad (8)$$

where the coefficients  $B_1$  and  $B_2$  are given as in (4).

Proof. Let  $f \in \Sigma_q(\varphi)$  and  $g = f^{-1}$ . Then there are analytic functions  $u, v : \mathbb{U} \rightarrow \mathbb{U}$  with  $u(0) = v(0) = 0$ , satisfying the following conditions:

$$D_q(f(z)) = \varphi(u(z)), \quad z \in \mathbb{U} \quad (9)$$

and

$$D_q(g(w)) = \varphi(v(w)), \quad w \in \mathbb{U} \quad (10)$$

Define the functions  $p$  and  $q$  by

$$p(z) = \frac{1 + u(z)}{1 - u(z)} = 1 + p_1z + p_2z^2 + \dots \quad (11)$$

and

$$q(z) = \frac{1 + v(z)}{1 - v(z)} = 1 + q_1z + q_2z^2 + \dots \quad (12)$$

Then  $p$  and  $q$  are analytic in  $\mathbb{U}$  with  $p(0) = q(0) = 1$ . Since  $u, v : \mathbb{U} \rightarrow \mathbb{U}$ , each of the functions  $p$  and  $q$  has a positive real part in  $\mathbb{U}$ . Therefore, in view of the above Lemma, we have

$$|p_n| \leq 2 \quad \text{and} \quad |q_n| \leq 2 \quad (n \in \mathbb{N}). \quad (13)$$

Solving for  $u(z)$  and  $v(z)$ , we get

$$u(z) = \frac{p(z) - 1}{p(z) + 1} = \frac{1}{2} \left[ p_1z + \left( p_2 - \frac{p_1^2}{2} \right) z^2 \right] + \dots \quad (z \in \mathbb{U}) \quad (14)$$

and

$$v(z) = \frac{q(z) - 1}{q(z) + 1} = \frac{1}{2} \left[ q_1z + \left( q_2 - \frac{q_1^2}{2} \right) z^2 \right] + \dots \quad (z \in \mathbb{U}). \quad (15)$$

Upon substituting from (14) and (15) into (9) and (10), respectively, and making use of (4), we obtain

$$D_q(f(z)) = \varphi \left( \frac{p(z) - 1}{p(z) + 1} \right) = 1 + B_1p_1z + \left[ \frac{1}{2}B_1 \left( p_2 - \frac{p_1^2}{2} \right) + \frac{1}{4}B_2p_1^2 \right] z^2 + \dots \quad (16)$$

and

$$D_q(g(w)) = \varphi \left( \frac{q(w) - 1}{q(w) + 1} \right) = 1 + B_1q_1w + \left[ \frac{1}{2}B_1 \left( q_2 - \frac{q_1^2}{2} \right) + \frac{1}{4}B_2q_1^2 \right] w^2 + \dots \quad (17)$$

Equating the coefficients in (9) and (10), we find that

$$[2]_q a_2 = \frac{1}{2} B_1 p_1 \quad (18)$$

$$[3]_q a_3 = \frac{1}{2} B_1 \left( p_2 - \frac{p_1^2}{2} \right) + \frac{1}{4} B_2 p_1^2 \quad (19)$$

$$-[2]_q a_2 = \frac{1}{2} B_1 p_1 \quad (20)$$

$$[3]_q (2a_2^2 - a_3) = \frac{1}{2} B_1 \left( q_2 - \frac{q_1^2}{2} \right) + \frac{1}{4} B_2 q_1^2 \quad (21)$$

From (18) and (20), we get

$$p_1 = -q_1 \quad (22)$$

and

$$2[2]_q^2 a_2^2 = \frac{1}{4} B_1^2 (p_1^2 + q_1^2) \quad (23)$$

Also from (19) and equation (21), we get

$$2[3]_q a_2^2 = \frac{1}{2} B_1 \left[ p_2 + q_2 - \left( \frac{p_1^2 + q_1^2}{2} \right) \right] + \frac{1}{4} B_2 [p_1^2 + q_1^2], \quad (24)$$

by using (23), we get

$$a_2^2 = \frac{B_1^3 (p_2 + q_2)}{4 \left[ [3]_q B_1^2 + [2]_q^2 (B_1 - B_2) \right]} \quad (25)$$

Applying Lemma 1.5 for the coefficients  $p_1, p_2, q_1, q_2$  in the equalities (23) and (25), we obtain

$$|a_2| \leq \frac{B_1^{\frac{3}{2}}}{\sqrt{[3]_q B_1^2 + [2]_q^2 (B_1 - B_2)}} \quad (26)$$

$$|a_2| \leq \frac{B_1}{[2]_q} \quad (27)$$

Hence equations (26) and (27) gives the estimates of  $|a_2|$ .

Next, in order to find the bound on  $|a_3|$ , we subtract (21) from (19) and also from (22), we get  $p_1^2 = q_1^2$ , hence

$$2[3]_q a_3 - 2[3]_q a_2^2 = \frac{1}{2} B_1 (p_2 - q_2), \quad (28)$$

which, upon substitution of the value of  $a_2^2$  from (23) into (28), yields

$$a_3 = \frac{B_1}{[3]_q} (p_2 - q_2) + \frac{B_1^2}{[2]_q^2} (p_1^2 + q_1^2). \quad (29)$$

So we get

$$|a_3| \leq \frac{B_1}{[3]_q} + \frac{B_1^2}{[2]_q^2}. \quad (30)$$

On the other hand, upon substituting the value of  $a_2^2$  from (24) into (28), it follows that

$$a_3 = \frac{4B_1 p_2 + (B_2 - B_1)(p_1^2 + q_1^2)}{8[3]_q}. \quad (31)$$

And we get

$$|a_3| \leq \frac{B_2}{[3]_q}. \quad (32)$$

Thus, we get the desired estimate on the coefficient  $|a_3|$  as asserted in (40).

### 3 Corollaries and Consequensec

Taking  $q \rightarrow 1^-$  in Theorem 2.1, we obtain the following corollary.

**Corollary 3.1** Let the function  $f$  given by (1) be in the class  $\Sigma(\varphi)$ . Then

$$|a_2| \leq \min \left\{ \frac{B_1}{2}, \frac{B_1\sqrt{B_1}}{\sqrt{3B_1^2 + 4(B_1 - B_2)}} \right\} \quad (33)$$

and

$$|a_3| \leq \min \left\{ \frac{B_2}{3}, \left( \frac{1}{3} + \frac{B_1}{4} \right) B_1 \right\} \quad (34)$$

**Remark 3.2** Corollary 3.1 is an improvement of the following estimates obtained by Ali et al. [3].

**Corollary 3.3** ( see [3]) Let the function  $f$  given by (1) be in the function class  $\mathcal{H}_\sigma(\varphi)$ . Then

$$|a_2| \leq \frac{B_1\sqrt{B_1}}{\sqrt{3B_1^2 - 4B_2 + 4B_1}} \quad \text{and} \quad |a_3| \leq \left( \frac{1}{3} + \frac{B_1}{4} \right) B_1. \quad (35)$$

Taking

$$\varphi(z) = \frac{1 + (1 - 2\beta)z}{1 - z} = 1 + 2(1 - \beta)z + 2(1 - \beta)z^2 + \dots \quad (0 \leq \beta < 1) \quad (36)$$

in Theorem 2.1, we have the following corollary.

**Corollary 3.4** [9] Let the function  $f$  given by (1) be in the function class  $\Sigma_q(\beta)$  ( $0 \leq \beta < 1$ ). Then

$$|a_2| \leq \min \left\{ \frac{2(1 - \beta)}{[2]_q}, \sqrt{\frac{2(1 - \beta)}{[3]_q}} \right\} \quad (37)$$

and

$$|a_3| \leq \frac{2(1 - \beta)}{[3]_q} \quad (38)$$

Taking  $q \rightarrow 1^-$  in Corollary 3.4, we have the following corollary

**Corollary 3.5** Let the function  $f$  given by (1) be in the function class  $\Sigma(\beta)$  ( $0 \leq \beta < 1$ ). Then

$$|a_2| \leq \min \left\{ (1 - \beta), \sqrt{\frac{2(1 - \beta)}{3}} \right\} \quad (39)$$

and

$$|a_3| \leq \frac{2(1 - \beta)}{3} \quad (40)$$

**Remark 3.6** Corollary 3.5 is an improvement of the following estimates obtained by Srivastave et al. [4].

**Corollary 3.7** [4] Let the function  $f$  given by (1) be in the function class  $\mathcal{H}_\Sigma(\alpha)$  ( $0 \leq \alpha < 1$ ). Then

$$|a_2| \leq \sqrt{\frac{2(1 - \alpha)}{3}} \quad (41)$$

and

$$|a_3| \leq \frac{(1 - \alpha)(5 - 3\alpha)}{3}. \quad (42)$$

Taking

$$\varphi(z) = \left(\frac{1+z}{1-z}\right)^\alpha = 1 + 2\alpha z + 2\alpha^2 z^2 + \dots \quad (0 < \alpha \leq 1) \quad (43)$$

in Theorem 2.1, we have the following corollary.

**Corollary 3.8** *Let the function  $f$  given by (1) be in the function class  $\Sigma_q(\alpha)$  ( $0 < \alpha \leq 1$ ). Then*

$$|a_2| \leq \min \left\{ \frac{2\alpha}{[2]_q} + \frac{2\alpha}{\sqrt{2[3]_q\alpha + (1-\alpha)[2]_q^2}} \right\} \quad (44)$$

and

$$|a_3| \leq \min \left\{ \frac{2\alpha^2}{[3]_q}, \frac{2\alpha}{[3]_q} + \frac{4\alpha^2}{[2]_q^2} \right\}. \quad (45)$$

**Remark 3.9** *Corollary 3.8 is an improvement of the following estimates obtained by Bulut [9].*

**Corollary 3.10** [9] *Let the function  $f$  given by (1) be in the function class  $\Sigma_q(\alpha)$  ( $0 < \alpha \leq 1$ ). Then*

$$|a_2| \leq \frac{2\alpha}{\sqrt{2[3]_q\alpha + (1-\alpha)[2]_q^2}} \quad (46)$$

and

$$|a_3| \leq \frac{2\alpha}{[3]_q} + \frac{4\alpha^2}{[2]_q^2} \quad (47)$$

Taking  $q \rightarrow 1^-$  in Corollary 3.8, we have the following corollary.

**Corollary 3.11** *Let the function  $f$  given by (1) be in the function class  $\Sigma(\alpha)$  ( $0 < \alpha \leq 1$ ). Then*

$$|a_2| \leq \min \left\{ \alpha, \alpha \sqrt{\frac{2}{\alpha+2}} \right\} \quad (48)$$

and

$$|a_3| \leq \min \left\{ \frac{2\alpha^2}{3}, \frac{\alpha(3\alpha+2)}{3} \right\}. \quad (49)$$

**Remark 3.12** *Corollary 3.11 is an improvement of the following estimates obtained by Srivastave et al. [4].*

**Corollary 3.13** [4] *Let the function  $f$  given by (1) be in the function class  $\mathcal{H}_\Sigma^\alpha$  ( $0 < \alpha \leq 1$ ). Then*

$$|a_2| \leq \alpha \sqrt{\frac{2}{\alpha+2}} \quad (50)$$

and

$$|a_3| \leq \frac{\alpha(3\alpha+2)}{3}. \quad (51)$$

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